

## Toward Safe and Accountable AI Systems

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# Growing use of AI/ML in safety-critical systems







### Growing concerns on AI safety and accountability



## Self-driving Uber car that hit and killed woman did not recognize that pedestrians jaywalk

The automated car lacked "the capability to classify an object as a pedestrian unless that object was near a crosswalk," an NTSB report said...

Nov 9, 2019



#### 😢 The Independent

Tesla driver says 'self-driving' tech took control and forced unsafe lane change, causing her to crash



Tesla driver says 'self-driving' tech took control and forced unsafe lane change, causing her to crash. Driver says she tried to take wheel and...

Nov 13, 2021

### The "V" software development model



#### Non-Learning System (e.g. manual brake-by-wire)

#### Learning-Enabled Autonomous System (e.g. automated brake-by-wire for collision avoidance)





Safety assurance can be provided Safety assurance can NOT be provided

# Challenges for verified AI



Need to Search Very High-Dimensional Input and State Spaces

## AI/ML-based software as a Medical Device



Artificial Intelligence/Machine Learning (AI/ML)-Based Software as a Medical Device (SaMD) Action Plan

January 2021



<u>ACM Statement on Principles for Responsible Algorithmic Systems</u> (released on Oct 26, 2022)

It is imperative that algorithmic systems comply fully with established legal, ethical, and scientific norms and that the risks of their use be proportional to the specific problems being addressed.

### ACM Statement on Principles for Responsible Algorithmic Systems

- 1. Legitimacy and competency
- 2. Minimizing harm
- 3. Security and privacy
- 4. Transparency
- 5. Interpretability and explainability
- 6. Maintainability
- 7. Contestability and auditability
- 8. Accountability and responsibility
- 9. Limiting environmental impacts

Public and private bodies should be held accountable for decisions made by algorithms they use, even if it is not feasible to explain in detail how those algorithms produced their results. Such bodies should be responsible for entire systems as deployed in their specific contexts, not just for the individual parts that make up a given system.